PTO/SB/05 (08-03)
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UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| ì | spond to a conection of information unless it displays a valid OMB control number | | | | | |
|---|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------|--|--|--|--|
| | Attorney Docket No. | 2056.036 | | | | |
| | First Inventor | David S. F. Young | | | | |
| | Title | Cytotoxicity Mediation of Cells Evidencing Surface Expression of MCSP | | | | |
| I | Evnress Mail I ahel No | EV303518061US | | | | |

| (0.00) | - Torproviolation approachasis under ex-error 1.00(b)) | Express Mail Label No. 2400001000100 | ノ | | | |
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| | PPLICATION ELEMENTS ter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 | | | | |
| (Submit and Applicant of Applicant of See 37 CF 3. Specification (preferred and - Descriptive - Cross Reference or a compute - Background - Brief Summer - Brief Description - Claim(s) - Abstract of - Claim(s) - Claim(s) - Abstract of - Claim(s) | on [Total Pages 64] rrangement set forth below) e title of the invention erence to Related Applications Regarding Fed sponsored R & D e to sequence listing, a table, uter program listing appendix and of the Invention mary of the Invention diription of the Drawings (if filed) description f the Disclosure (35 U.S.C. 113) [Total Sheets 11] | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. Preliminary Amendment 14. Preliminary Amendment 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Other: Petition.to.Accept Color. Drawings. | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: | | | | | | |
| Continuation | | ation-in-part (CIP) of prior application No.: 19/7.62,129 | | | | |
| Prior application information: Examiner Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | |
| | 19. CORRESPON | IDENCE ADDRESS | | | | |
| Customer N | Number: 21917 | OR Correspondence address below | | | | |
| Name N | McHale & Slavin, P.A. | | | | | |
| Address 2 | 2855 PGA Boulevard | | | | | |
| City P | Palm Beach Gardens | State Florida Zip Code 33410 | | | | |
| Country (| JSA | Telephone (561) 625-6575 Fax (561) 625-6572 | | | | |
| Name (Print/Type) | Ferris H. Lander | Registration No. (Attorney/Agent) 43,377 | $\overline{}$ | | | |
| Signature | Fairy H Javeler | Date 3/26/2001 | 7 | | | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentialty is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (08-03)
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| CEE TO A NOMITTAL | Complete if Known | | | | | |
|------------------------------------------------------------------|----------------------|-------------------|--|--|--|--|
| FEE TRANSMITTAL | Application Number | | | | | |
| for FY 2003 | Filing Date | | | | | |
| ffective 01/01/2003. Patent fees are subject to annual revision. | First Named Inventor | David S. F. Young | | | | |
| | Examiner Name | | | | | |
| plicant claims small entity status. See 37 CFR 1.27 | Art I Init | | | | | |

| TOTAL ANIODIST OF PATINEIST (\$) 024.00 | | Attorney Docket No. 2056.036 | | | | |
|--------------------------------------------------------------------------------------------|-----------------------------|--------------------------------|---------|----------|----------------------------------------------------------------------------|-------------|
| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
| Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| Order Comment | Large | Entity | Small | Entity | · | |
| Deposit Account: | | Fee | Fee Fee | | Fee Description | |
| Deposit Account 50-1820 | Code | | 2051 | | | Fee Paid |
| Number Deposit A | 1051 | 130 | | | Surcharge - late filing fee or oath | |
| Account Arius Research, Inc. | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Name The Director is authorized to: (check all that apply) | 1053 | 130 | 1053 | | Non-English specification | |
| Charge fee(s) indicated below Credit any overpayments | | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge any additional fee(s) during the pendency of this application | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| Charge fee(s) indicated below, except for the filing fee | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after | |
| to the above-identified deposit account. | 4054 | 440 | 0054 | | Examiner action | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1. BASIC FILING FEE | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid | 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| Code (\$) Code (\$) | | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1001 750 2001 375 Utility filing fee 385.00 | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | - |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) 385.00 | 1452 | | 2452 | 55 | Petition to revive - unavoidable | <u> </u> |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | 1,300 | 2453 | | Petition to revive - unintentional | —— |
| Total Claims 40 -20** = 20 x 9 = 180 | | 1,300 | 2501 | | Utility issue fee (or reissue) | |
| | | 470 | 2502 | | Design issue fee | |
| Independent 6 2 11 120 | 1503 1460 | 630 | 2503 | | Plant issue fee | 100.00 |
| Claims -3 - 3 - 145 = 0 | | 130 | 1460 | | Petitions to the Commissioner | 130.00 |
| Large Entity Small Entity | 1807 | 50 | 1807 | | Processing fee under 37 CFR 1.17(q) | |
| Fee Fee Fee Fee Fee Description | 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 | 8021 | 40 | 8021 | 1 40 | Recording each patent assignment per property (times number of properties) | |
| 1201 84 2201 42 Independent claims in excess of 3 | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1810 | 750 | 2810 | 375 | For each additional invention to be | |
| 1204 84 2204 42 ** Reissue independent claims over original patent | | 750 | 2801 | 375 | examined (37 CFR 1.129(b)) Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| [200 00] | | Other fee (specify) | | | | |
| SUBTOTAL (2) (\$) 309.00 **or number previously paid, if greater; For Reissues, see above | *Red | uced by | Basic I | Filing F | ee Paid SUBTOTAL (3) (\$) 130.0 | 00 |

| SUBMITTED BY | | (Complete (if applicable)) | | | | |
|-------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------------------|--|--|
| Name (Print/Type) | Ferris H. Lander | Registration No. (Attorney/Agent) 43,377 | Telephon | Telephone (561) 625-6575 | | |
| Signature | Janes H. Canles | The second section of the sec | Date | 3/26/2001/ | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICANT

: YOUNG et al

INVENTION:

: CYTOTOXICITY MEDIATION OF CELLS

EVIDENCING SURFACE EXPRESSION OF MCSP

SERIAL NUMBER

FILING DATE

: (Filed Herewith)

EXAMINER:

GROUP ART UNIT

ATTORNEY DOCKET NO. : 2056.036

PETITION TO SUBMIT COLOR DRAWINGS

Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a petition to submit color drawings in the above-identified patent application pursuant to 37 CFR §1.84(2).

Applicants believe that the color drawings submitted herewith are necessary for the understanding of the above-identified and are the only medium by which to disclose the subject matter sought to be patented.

In accordance with the requirements of 37 CFR §1.84(2), Applicants submit the following:

- 1. Authorization to charge the petition fee of \$ 130.00 set forth in §37 CFR 1.17(h) to Deposit Account No. 50-1820; and
 - Three (3) sets of color drawings.

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Express Mail No. EV303518061US

Pursuant to 37 §1.84(2)(iv), Applicants have inserted the following language into the specification as the first paragraph of the brief description of the drawings:

The patent or application contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawings will be provided by the Office upon request and payment of the necessary fee.

Applicants respectfully request the granting of this Petition and insertion of the color drawings submitted herewith into the record of the application.

Respectfully submitted,

Date 3/26/2004

Ferris H Lander

Registration No. 43,377

McHale & Slavin, P.A.

2855 PGA Boulevard

Palm Beach Gardens, FL 33410

Telephone: (561) 625-6575 Facsimile: (561) 625-6572